

DSC Notice: DSCN (2007) 13 (W)
English DSCN Equivalent: n/a
Initiating Welsh Reference: WHC (2007) 061
Date of Issue: September 2007

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|---|--|
| WIGSB Welsh Information Governance and Standards Board | Subject: To remove waits for certain diagnostic endoscopies from the waiting times data collection for inpatient and day case admissions and first outpatient appointments (PP01W) |
| | Implementation date: 1 st September 2007 |

DATASET CHANGE CONTROL PROCEDURE

Summary of change:

The purpose of this DSCN is to inform providers and suppliers of the exclusion of waits for certain diagnostic endoscopy procedures from the waiting times data collection for inpatient and day case admissions and first outpatient appointments (PP01W).

This DSCN uses as a baseline version 2.16 of the NHS Wales Data Dictionary together with changes introduced in DSCN (2007) 12 (W). It should therefore, be read in sequence following that DSCN.

The DSCN also details the reporting structure for the PP01W return which will be included in the NHS Wales Data Dictionary.

These changes will be applied in version 2.17 of the NHS Wales Data Dictionary.

WIGSB Reference No:
20070913002

Welsh Information Governance and Standards Board (WIGSB), is responsible for approving information standards.

Please address enquiries about Dataset change proposals to the Data Standards and Information Quality Team, HSW, Brunel House, 2 Fitzalan Road, Cardiff CF24 0HA Tel: 029 20502539 or E-mail Data.Standards@hsm.wales.nhs.uk

Dataset Change Notices are available via the Intranet Service HOWIS <http://howis.wales.nhs.uk/> or by contacting the above address.

Draft DSCN numbering format = (year of draft) 2-alpha character sequence (W).

Upon receiving approval for the change by WIGSB, the draft DSCN number will be reformatted to:
DSCN number format = (year of issue) sequence number (W)

In addition,

WIGSB Reference No. format = year/month/day/sequence number (relates to when WIGSB approved change)

Document: DSCN (2007) 13 (W)
 Status: FINAL
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Author: Jen Evans
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Document Control

| Version: | Issued on: | Owner | Details: |
|-----------------|-------------------|---------------|--|
| 0.01 | 19/07/07 | Jen Evans | Initial Draft for review at the DSCN Sub group (July) |
| 0.02 | 26/07/07 | Nicola Turner | Updated following comments from DSCN sub-group |
| 0.03 | 03/08/07 | Nicola Turner | Updated with a new Term |
| 0.04 | 06/08/07 | Nicola Turner | Updated following DSCN sub-group Out of Committee comments |
| 0.05 | 08/08/07 | Jen Evans | Updated following further request for changes |
| 0.06 | 28/08/07 | Jen Evans | Updated following resolution of reporting duplicates and ensure consistency with DSCN (2007) AI(W) |
| 0.07 | 31/08/07 | Nicola Turner | Updated following DSCN sub-group discussions |
| 0.08 | 06/09/07 | Nicola Turner | Updated following further discussions |
| 0.09 | 14/09/07 | Jen Evans | Changes made following WIGSB (September) |
| 1.0 | 14/09/07 | Jen Evans | Final Version for Issue (formally known as DSCN (2007) AU(W)) |

DATASET CHANGE NOTICE (2007) 13 (W)

- Reference:** WIGSB 20070913002
- Subject:** To remove waits for certain Diagnostic Endoscopies from the waiting times collection for inpatient and day case admissions and first outpatient appointments (PPO1W).
- Reason for Change:** To avoid the possible duplication of Diagnostic Endoscopies waiting times in PP01W and Diagnostic and Therapy Services Waiting Times returns.
- Implementation Date:** 1st September 2007

Background:

Trusts have been providing aggregated counts for waiting times through the PP01W monthly data collection over a number of years. Trusts were instructed to include 'all their waiting lists' for Welsh residents waiting for inpatient and day case admissions and first outpatient appointments. Waits for certain diagnostic endoscopies would have been included in these counts where they were planned to take place in these settings.

The Diagnostic and Therapy Services Waiting Times data collection was extended in January 2007, as part of a pilot submission, to include waiting times for inpatient and day case admissions and outpatient appointments for certain diagnostic endoscopy services. These were:-

Gastroscopy
Flexible Sigmoidoscopy
Colonoscopy
Cystoscopy
Bronchoscopy

There were concerns over the potential for waits for these procedures also to be included in the main waiting times for inpatient and day case admissions and first outpatient appointments. Therefore in order to eliminate this possibility of double counting, it was proposed that these waits be removed from the waiting times collection and only be recorded on the Diagnostic and Therapy Services Waiting Times Return.

A consultation was carried out with the Service, the public and other stakeholders in July 2007 and a decision was made to remove these diagnostic endoscopies from the PP01W monthly data collection. This DSCN describes the changes to reporting required for the PP01W.

The DSCN also documents the reporting structure of the PP01W which will now be included in the NHS Wales Data Dictionary.

A description of how a wait, which exceeds a target is calculated, is included in the Terms section of the NHS Wales Data Dictionary under a new term called “Waiting Times Breaches”.

Impact for Trusts:

Trusts should remove waits for the diagnostic endoscopies services listed below from the scope of the PP01W data collection. This change is to take effect from 1st September 2007 (that is, the return which is sent to BSC within 7 working days from 30th September 2007).

The services listed below are to be excluded, and a full list of the relevant OPCS codes can be found in Appendix k of the NHS Wales Data Dictionary: -

- Gastroscopy
- Flexible Sigmoidoscopy
- Colonoscopy
- Cystoscopy
- Bronchoscopy

Changes:

The Data Items and Terms linked to this change can be found in Appendix A.

Changes to the NHS Wales Data Dictionary are to be found in Appendix B.

The changes as they will appear in the NHS Wales Data Dictionary can be found in Appendix C.

Appendix A: Data Items and Terms linked to this Change.

The following table shows all Data Items and Terms that are linked with the changes documented within this DSCN.

| Data Items and Terms Linked to Change | | |
|--|---------------------------------|---------------------|
| New | Changed | Not affected |
| Waiting Times Breaches | Data Reference | Trust Code |
| | Return Date | |
| | Local Health Board of Residence | |
| | Main Specialty (Consultant) | |
| | Local Sub Specialty | |
| | Weeks Wait | |
| | Count | |
| | | |
| | | |

Appendix B: Proposed changes

Changes to the NHS Wales Data Dictionary are detailed below, with new text being highlighted in **blue** and deletions are shown with a ~~strikethrough~~. The text shaded in **grey** shows existing text copied from the NHS Wales Data Dictionary.

1. In the NHS Wales Data Dictionary, the following data items will be amended solely to identify their inclusion in the PP01W Return.

Return Date
(DT Waiting Times/ RTT/**PP01W**)

Local Health Board of Residence
(APC ds99/EAL mds/OP mds/ RTT/**PP01W**)

Main Specialty (Consultant)
(APC ds99/ EAL mds/ OP mds/ RTT/**PP01W**)

Local Sub Specialty
(APC ds99/ EAL mds/ OP mds/**PP01W**)

Count
(DT Waiting Times/ RTT/**PP01W**)

2. A new data collection will be included under the Chapter 'Aggregate Waiting Times Returns':-

Diagnostic and Therapy Services Waiting Times
Referral to Treatment (RTT) Times
Inpatient and Day Case Admissions and First Outpatient Appointments Waiting Times (PP01W).

3. A new section 'Inpatient and Day Case Admissions and First Outpatient Appointments Waiting Times (PP01W)':-

Inpatient and Day Case Admissions and First Outpatient Appointments Waiting Times (PP01W).

Background

Trusts have been providing aggregated counts for waiting times through the PP01W monthly data collection over a number of years. Trusts were instructed to include 'all their waiting lists' for Welsh residents waiting for inpatient and day case admissions and first outpatient appointments. Waits for certain diagnostic endoscopies would have been included in these counts where they were planned to take place in these settings.

The Diagnostic and Therapy Services Waiting Times data collection was extended in January 2007, as part of a pilot submission, to include waiting times for inpatient and day case admissions and first outpatient appointments. These were:-

Gastroscopy

Flexible Sigmoidoscopy

Colonoscopy

Cystoscopy

Bronchoscopy

A full list of codes to be included in the Diagnostic and Therapy Services Waiting Times Data Collection, can be found in [Appendix K: OPCS Reference Codes Lists](#)

There were concerns over the potential for waits for these procedures also to be included in the main waiting times for inpatient and day case admissions and first outpatient appointments. Therefore in order to eliminate this possibility of double counting, it was proposed that these waits be removed from the waiting times collection and only to be recorded on the Diagnostic and Therapy Service Waiting Times Return.

A consultation was carried out with the Service, the public and other stakeholders in July 2007 and a decision was made to remove these diagnostic endoscopies from the PP01W monthly data collection. This DSCN describes the changes to reporting required for the PP01W.

Inpatient and Day Case Admissions and First Outpatient Appointments Waiting Times (PP01W) – Details of Return

The BSC will provide datasets relating to the last day of each month to the National Assembly for Wales no later than 10 working days after the end of the month. To enable the BSC to meet this timetable, Trusts should provide data to BSC local offices no later than 7 working days after the end of the month. (Data for December will need to be supplied in a shorter timescale).

All files should be sent in the form of a comma separated text file (csv format), i.e. in which the values in each field are separated by commas, and e-mailed to: stats.health@wales.gsi.gov.uk

Files should be named as: xxxxxmmmyy.csv

Where: xxxxx = the BSC local office code

mmm = first three letters of the month to which the data relates, e.g. Apr for the first submission of data relating to waiting times at end of April.

yy = last 2 digits of the year

The file will have the following format: -

| Field Order | Format |
|---|---------------------------|
| Data Reference | 2 character alpha |
| Return Date | ccymmdd |
| Local Health Board (LHB) | 3 character alpha numeric |
| Trust Code | 3 character alpha numeric |
| Main Specialty (Consultant) | 3 digit numeric |
| Local Sub-specialty Code | 3 digit numeric |
| Weeks Wait | alpha numeric |
| Count | numeric |

If the count is zero for a weeks wait time band, a row for that weeks wait data should not be submitted.

4. Changes to existing Data Items:-

Data Reference

(DT Waiting Times/RTT/PP01W)

This is the reference on each Waiting Times monthly data collection to identify the type of return being submitted. This defines the record type within a return.

Must be one of the following: -

For Diagnostic and Therapy Services Waiting Times: -

| Value | Record Type |
|--------------|--|
| IE | Inpatient Diagnostic Endoscopy |
| DE | Day Case Diagnostic Endoscopy |
| OE | Outpatient Diagnostic Endoscopy |
| DT | For all other specified Diagnostic and Therapy Services |

For Referral to Treatment Times: -

| Value | Record Type |
|--------------|---|
| PO | Open Pathway |
| CA | Closed Pathway Due to Admission |
| CO | Closed Pathway Due to Other Clock Stop Point |

For PP01W: -

| Value | Record Type |
|--------------|-------------------------------------|
| IP | Inpatient admission |
| DC | Day Case admission |
| OP | First Outpatient appointment |

Format: 2 character alpha-numeric

Weeks Wait

(DT Waiting Times/RTT/PP01W)

The time bands for reporting the waiting time counts, some values of which vary between returns developed for different purposes.

The principle for recording the time band for a weeks wait is shown below: -

For reporting purposes a weeks wait is a value of x and refers to "Over x weeks and up to x+1 weeks".

The calculation from days to weeks wait reported is Integer value of $(\text{days}-1)/7$ or zero, whichever is greater.

For example, to calculate the weeks wait for 277 days; use the calculation $(\text{days}-1)/7$: -

$(277-1)/7 = 39.428$ (equivalent to 39 weeks and 4 days). This will be recorded under the 'Over 39 weeks and up to 40 weeks (Day 274 – 280)' Time Band.

The definition for 999 is different within the RTT and PP01W collections. In the PPO1W it refers to suspensions and in RTT it refers to patients with unknown RTT start clock date. The correct definition must be applied to the relevant return.

Diagnostic and Therapy Waiting Times & PP01W: -

The count of waiting time will start from the waiting list date and end on the census date, which is the last day of each month.

The waiting times will be reported grouped within time bands described in weeks up to 40 weeks wait. All waits beyond that will be reported in one group.

| Weeks Wait | Time Band |
|-------------------|---|
| 0 | Up to 1 week (up to and including 7 days) |
| 1 | Over 1 week and up to 2 weeks (day 8 to 14) |
| 2 | Over 2 weeks and up to 3 weeks (day 15 to 21) |
| Etc until | |
| 39 | Over 39 weeks and up to 40 weeks (day 274 to 280) |
| 40 | Over 40 weeks (day 281 and over) |
| 999 | Suspensions (for PP01W submissions only) |

Drafting Note:

Further definitions relating to Referral to Treatment Time follow in the NHS Wales Data Dictionary

5. New Term to be introduced

Under Terms :

Waiting Times Breaches

A Breach is when a patient's wait exceeds that target's waiting time. A target is set so no patient should wait longer than # weeks. Therefore any patient waiting in the weeks wait bands of # or above would be classified as a breach.

For example, if a target was set for a maximum wait of 15 weeks, any waits recorded in the weeks wait bands of 15 and above would be classified as a breach and any waits recorded in the weeks wait of 0 to 14 would not be a breach.

a certain number of weeks eg 15

[See Weeks Wait](#)

6. Under Appendix k:-

Appendix k – OPCS Reference Codes Lists

1. OPCS codes for Diagnostic Endoscopy procedures relevant to waiting times data collections.

Please Note:

These codes are to be used for the INCLUSION of Diagnostic Endoscopies in the [Diagnostic and Therapy Services Waiting Times](#) data collection

These codes are to be EXCLUDED from the Waiting Times for Inpatient and Day Case Admissions and First Outpatient Appointments (PP01W).

Appendix C: Data Items and Term as they will appear in the Data Dictionary.

1. In the NHS Wales Data Dictionary, the following data items will be amended solely to identify their inclusion in the PP01W Return

Return Date

(DT Waiting Times/ RTT/PP01W)

Local Health Board of Residence

(APC ds99/EAL mds/OP mds/ RTT/PP01W)

Main Specialty (Consultant)

(APC ds99/ EAL mds/ OP mds/ RTT/PP01W)

Local Sub Specialty

(APC ds99/ EAL mds/ OP mds/PP01W)

Count

(DT Waiting Times/ RTT/PP01W)

2. A new data collection section will be included under the Chapter 'Aggregate Waiting Times Returns':-

Diagnostic and Therapy Services Waiting Times

Referral to Treatment (RTT) Times

Inpatient and Day Case Admissions and First Outpatient Appointments Waiting Times (PP01W).

3. A new section 'Inpatient and Day case Admissions and First Outpatient Appointments Waiting Times (PP01W)':-

Inpatient and Day Case Admissions and First Outpatient Appointments Waiting Times

Background

Trusts have been providing aggregated counts for waiting times through the PP01W monthly data collection over a number of years. Trusts were instructed to include 'all their waiting lists' for Welsh residents waiting for inpatient and day case admissions and first outpatient appointments. Waits for certain diagnostic endoscopies would have been included in these counts where they were planned to take place in these settings.

The Diagnostic and Therapy Services Waiting Times data collection was extended in January 2007, as part of a pilot submission, to include waiting times for inpatient and day case admissions and first outpatient appointments. These were: -

Gastroscopy
Flexible Sigmoidoscopy
Colonoscopy
Cystoscopy
Bronchoscopy

A full list of codes to be included in the Diagnostic and Therapy Services Waiting Times Data Collection, can be found in [Appendix K: OPCS Reference Codes Lists](#)

There were concerns over the potential for waits for these procedures also to be included in the main waiting times for day case and inpatient admissions and first outpatient appointments. Therefore in order to eliminate this possibility of double counting, it was proposed that these waits be removed from the waiting times collection and only to be recorded on the Diagnostic and Therapy Service Waiting Times Return.

A consultation was carried out with the Service, the public and other stakeholders in July 2007 and a decision was made to remove these diagnostic endoscopies from the PP01W monthly data collection. This DSCN describes the changes to reporting required for the PP01W.

Inpatient and Day Case Admissions and First Outpatient Appointments Waiting Times (PP01W) – Details of Return

The BSC will provide datasets relating to the last day of each month to the National Assembly for Wales no later than 10 working days after the end of the month. To enable the BSC to meet this timetable, Trusts should provide data to BSC local offices no later than 7 working days after the end of the month. (Data for December will need to be supplied in a shorter timescale).

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yy = last 2 digits of the year

The file will have the following format: -

| <u>Field Order</u> | <u>Format</u> |
|--------------------------------|----------------------|
| Data Reference | 2 character alpha |
| Return Date | ccyymmdd |

| | |
|---|---------------------------|
| Local Health Board (LHB) | 3 character alpha numeric |
| Trust Code | 3 character alpha numeric |
| Main Specialty (Consultant) | 3 digit numeric |
| Local Sub-specialty Code | 3 digit numeric |
| Weeks Wait | alpha Numeric |
| Count | numeric |

If the count is zero for a weeks wait time band, a row for that weeks wait data should not be submitted.

4. Changes to existing Data Items:-

Data Reference

(DT Waiting Times/RTT/PP01W)

This defines the record type within a return.

Must be one of the following: -

For Diagnostic and Therapy Services Waiting Times: -

| Value | Record Type |
|--------------|---|
| IE | Inpatient Diagnostic Endoscopy |
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For Referral to Treatment Times: -

| Value | Record Type |
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| PO | Open Pathway |
| CA | Closed Pathway Due to Admission |
| CO | Closed Pathway Due to Other Clock Stop Point |

For PP01W: -

| Value | Record Type |
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| IP | Inpatient admission |
| DC | Day Case admission |
| OP | First Outpatient appointment |

Format: 2 character alpha

Weeks Wait

(DT Waiting Times/RTT/PP01W)

The time bands for reporting the waiting time counts, some values of which vary between returns developed for different purposes.

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Diagnostic and Therapy Services Waiting Times & PP01W: -

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Drafting Note;

Further definitions relating to Referral to Treatment Time follow in the NHS Wales Data Dictionary

5. New Term to be introduced

Waiting Times Breaches

A Breach is when a patient's wait exceeds that target's waiting time. A target is set so no patient should wait longer than # weeks. Therefore any patient waiting in the weeks wait bands of # or above would be classified as a breach.

For example, if a target was set for a maximum wait of 15 weeks, any waits recorded in the weeks wait bands of 15 and above would be classified as a breach and any waits recorded in the weeks wait of 0 to 14 would not be a breach.

a certain number of weeks eg 15

See [Weeks Wait](#)

6. Under Appendix k:-

Appendix k – OPCS Reference Codes Lists

1. OPCS codes for Diagnostic Endoscopy procedures relevant to waiting times data collections

Please Note:

These codes are to be used for the **INCLUSION** of Diagnostic Endoscopies in the [Diagnostic and Therapy Services Waiting Times](#) data collection

These codes are to be **EXCLUDED** from the Waiting Times for [Inpatient and Day Case Admissions and First Outpatient Appointments \(PP01W\)](#).

Additional Information:

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