

Corporate Risk Trending Analysis April 2021 – Sept 2022

DHCW

November 2022

Corporate Risks covering April 2021 – September 2022



Context

- DHCW was established on the 1 April 2021, the SHA Board approved the Risk and Board Assurance Framework Strategy on the 27 May 2021. A committee assignment approach was agreed as part of this strategy.
- All corporate risks are added to the corporate risk register with the approval of the relevant Executive Lead.
- Corporate risks are reviewed each month via Management Board.
- During the period April 2021 – September 2022 deep dives have taken place on the corporate risks assigned to the committees by the relevant committee.
- In May 2022 the Board Assurance Framework Report was approved by the SHA Board with corporate risks assigned to each of the five DHCW strategic missions.
- As part of the risk work-plan for 2022/23 a review of the movement of all corporate risks during DHCW's initial 18 months period of operation was agreed, which is presented in these slides.

Corporate Risks – Changes since 1 April 2021

- On the 1 April 2021 there were 14 risks on the corporate risk register.
- Between 1 April 2021 – 30 September 2022 there have been 52 individual risks managed on the corporate risk register for a period of time. Of these seven have remained active risks throughout this 18 month period.
- 38 new risks have been escalated since 1 April 2021.
- 26 risks have been removed (de-escalated or closed) since 1 April 2021, as at 30 September 2022.
- Of the 52 corporate risks during this period, half have been de-escalated or closed.

Corporate Risks – 1 April 2021

There are a number of risks that have been on the corporate risk register since DHCW was established in April 2021 that remain active on the Corporate Risk Register. These account for **26%** of active DHCW Corporate Risks (as at 30 September 2022). These are:

DHCW0204 – Canisc System (DG&S Committee)

DHCW0208 – Welsh Language Compliance (A&A Committee)

DHCW0228 – Fault Domains (DG&S Committee)

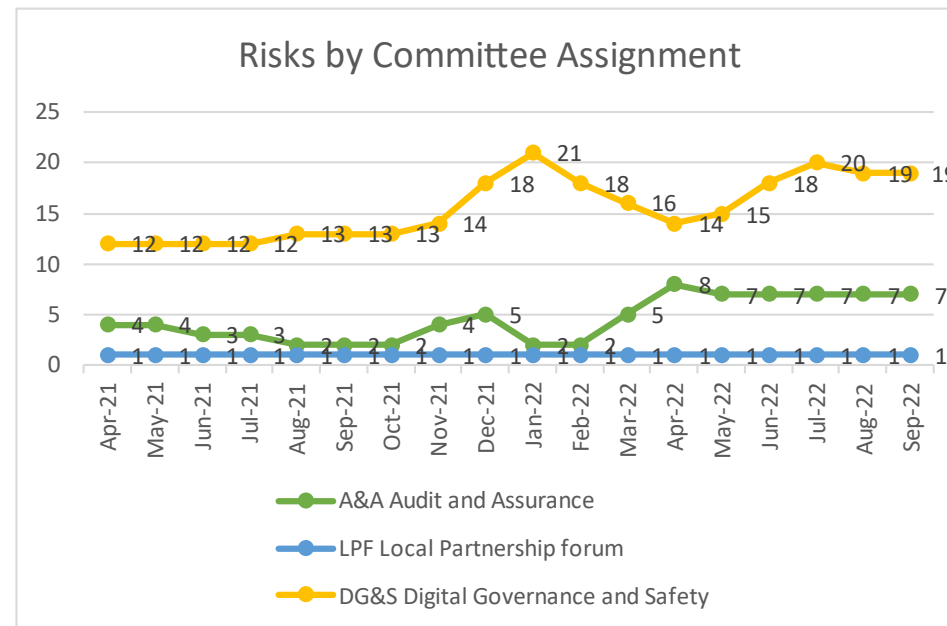
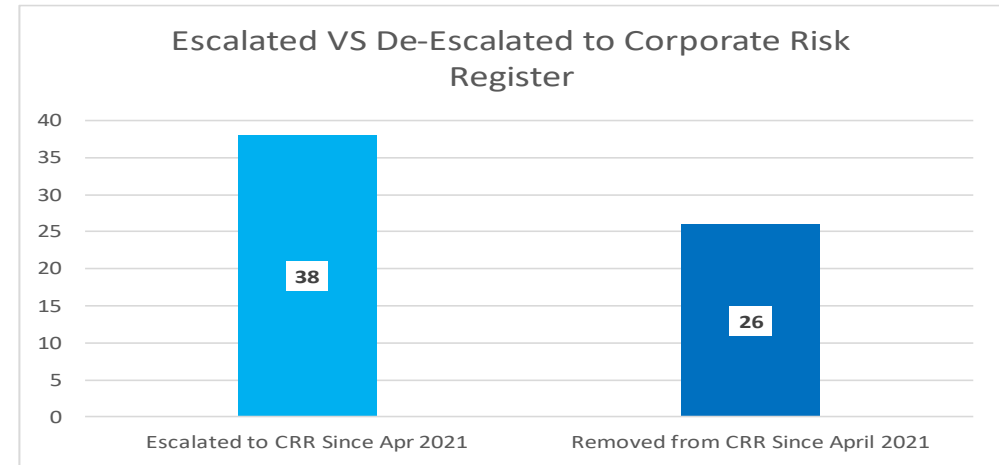
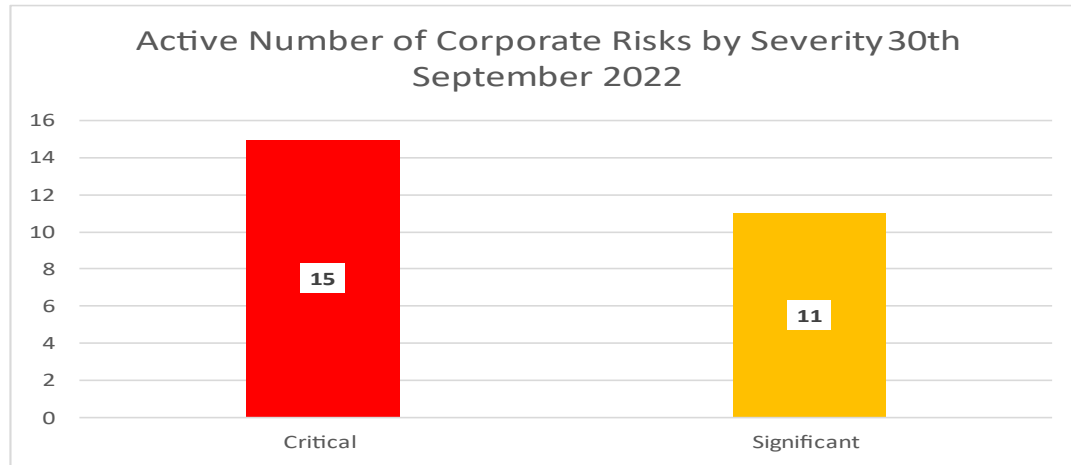
DHCW0229 – **PRIVATE (DG&S Committee)

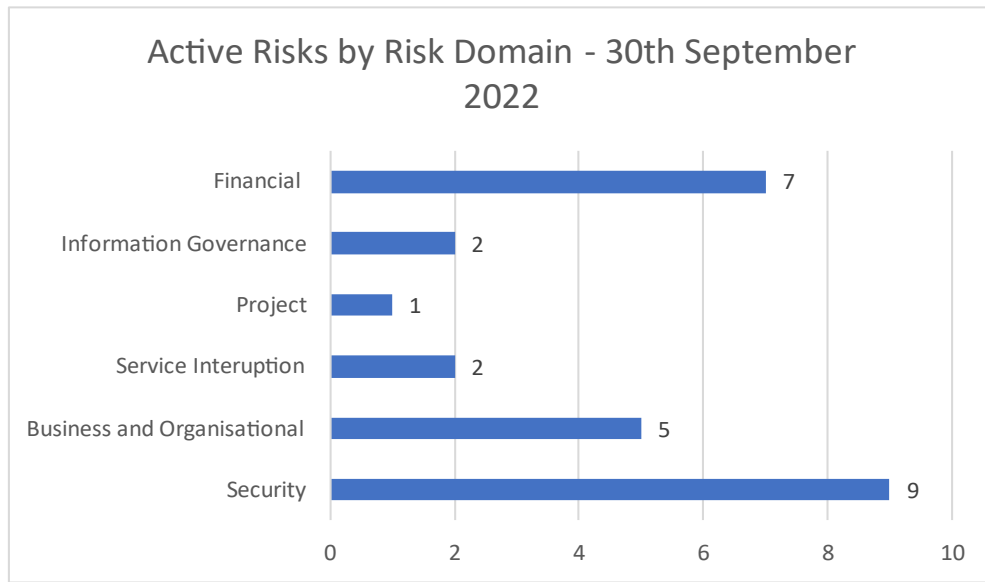
DHCW0237 - New requirements impact on resources and plan (DG&S Committee)

DHCW0257 - **PRIVATE (DG&S Committee)

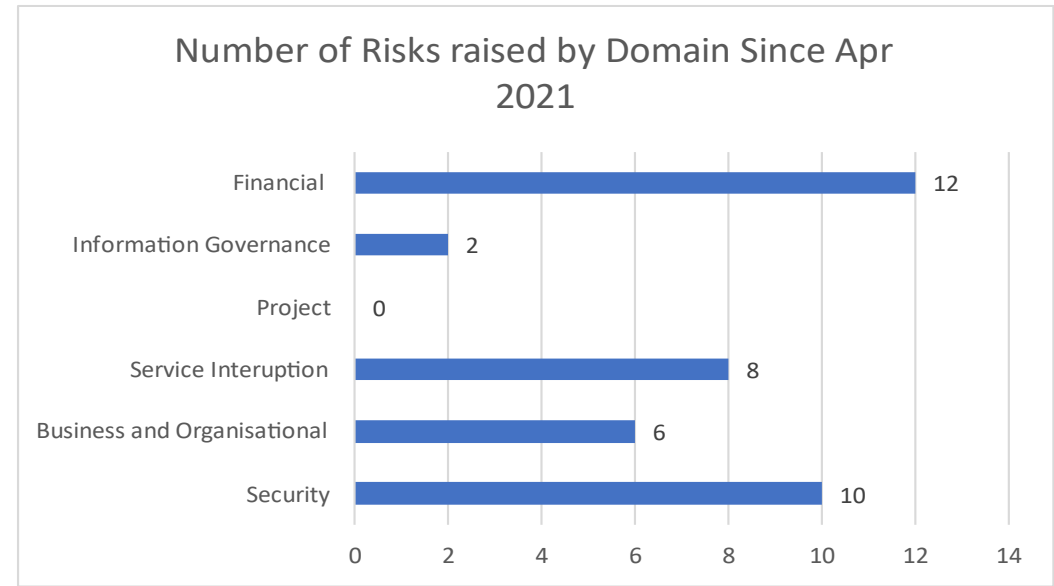
DHCW0259 – Staff Vacancies (A&A Committee)

The following slides shown demonstrate the movement in the Corporate Register from 1 April 2021 until 30 September 2022.





The above graph shows the 26 corporate risks by domain as of 30 September 2022.



The above graph shows the 38 new corporate risks added to the corporate risk register by domain during the period from 1 April – 30 September 2022.

The past nine months has seen an increase in the number of financial and security risks in particular.

Number of Active Risks by Strategic Mission as at 30 Sept 2022

| Mission | Number of Corporate Risks assigned |
|---|------------------------------------|
| 1. Enabling digital transformation supporting joined up, consistent care | 2 |
| 2. Delivering high quality technology, data products and services to support efficiencies and improvements in care processes | 14 |
| 3. Expanding the content, availability and functionality of the digital health and care record so that care and treatment quality is improved | 1 |
| 4. Driving Value and innovation for better outcomes and value based care | 2 |
| 5. Becoming the trusted strategic partner and a high performing, inclusive, ambitious organisation supporting our workforce and stakeholders | 7 |

Corporate Risks Non Movers– Deep Dives

Since the analysis of the corporate risks has been carried out, reviews of these risks have taken place at the Audit and Assurance and Digital Governance and Safety Committee meetings held in October and November 2022, with the below updates for noting:

DHCW0204 – Canisc System (DG&S Committee) – Risk Assess after 14/11/22 Phase 1 go-live

DHCW0208 – Welsh Language Compliance (A&A Committee) – Generic risk broken down to more specific targeted risks managed at directorate level

DHCW0228 – Fault Domains (DG&S Committee) – Target agreed (>95% complete) for closure

DHCW0229 – **PRIVATE (DG&S Committee) – Target agreed for closure

DHCW0237 - New requirements impact on resources and plan (DG&S Committee) – Continue to monitor

DHCW0257 - **PRIVATE (DG&S Committee) – Continue to monitor

DHCW0259 – Staff Vacancies (A&A Committee) – Continue to monitor – deep dive scheduled in February 2023