



# DIGITAL HEALTH AND CARE WALES LEGISLATIVE ASSURANCE FRAMEWORK REGISTER

Agenda Item	2.5
-------------	-----

Name of Meeting	Audit and Assurance Committee
Date of Meeting	8 April 2025

Public or Private	Public
IF PRIVATE: please indicate reason	N/A

Executive Sponsor	Claire Osmundsen-Little, Executive Director of Finance and Business Assurance
Prepared By	Laura Beddoe, Quality Manager (Regulatory Compliance)
Presented By	Paul Evans, Head of Quality Assurance & Regulatory Compliance

Purpose of the Report	For Assurance
Recommendation	The Committee is being asked to
<b>NOTE</b> Legislative Assurance Framework Register for <b>ASSURANCE</b> .	

WC:  
APP:  
TOTAL:

# 1 IMPACT ASSESSMENT

<b>STRATEGIC MISSION</b>	All missions apply
--------------------------	--------------------

<b>CORPORATE RISK</b> (ref if appropriate)	DHCW is responsible for complying with legislation applicable to us, the Legislation Register is a tool to document, monitor and confirm assurance to various legislations, Acts, regulations and standard.
--	---

<b>QUALITY IMPACT ASSESSMENT</b> (ref if appropriate)	As below
---	----------

<b>WELL-BEING OF FUTURE GENERATIONS ACT</b>	A Resilient Wales
If more than one standard applies, please list below:	

<b>DHCW QUALITY STANDARDS</b>	ISO 9001
If more than one standard applies, please list below:	

<b>DUTY OF QUALITY ENABLER</b>	Information
<b>DOMAIN OF QUALITY</b>	Safe
If more than one enabler / domain applies, please list below:	

<b>EQUALITY IMPACT ASSESSMENT STATEMENT</b>	Date of submission: N/A
No, (detail included below as to reasoning)	Outcome: N/A
Statement: This report does not need to have an EQIA assessment conducted.	

<b>IMPACT ASSESSMENT</b>	
<b>QUALITY AND SAFETY IMPLICATIONS/IMPACT</b>	Yes, please see detail below There is an increased quality and safety within the organisation due to compliance with legislation.
<b>LEGAL IMPLICATIONS/IMPACT</b>	Yes, please see detail below Non-compliance with legislation may have a legal impact on the organisation.
<b>FINANCIAL IMPLICATION/IMPACT</b>	Yes, please see detail below Non-compliance with legislation may have a financial impact on the organisation.

<b>WORKFORCE</b> IMPLICATION/IMPACT	Yes, please see detail below
	A number of pieces of legislation have an impact on how the workforce within the organisation operates.
<b>SOCIO ECONOMIC</b> IMPLICATION/IMPACT	Yes, please detail below
	Compliance with legislation promotes consideration of socio economic duties.
<b>RESEARCH AND INNOVATION</b> IMPLICATION/IMPACT	No, there are no specific research and innovation implications relating to the activity outlined within this report.

## 2 APPROVAL / SCRUTINY ROUTE

Person / Committee / Group who have received or considered this paper prior to this meeting		
PERSON, COMMITTEE OR GROUP	DATE	OUTCOME
Chris Moreton, Deputy Director of Finance & Business Assurance	March 2025	Reviewed
Claire Osmundsen-Little, Executive Director of Finance & Business Assurance	March 2025	Approved

Acronyms			
DHCW	Digital Health and Care Wales	SHA	Special Health Authority
LAF	Legislative Assurance Framework		

### 3 SITUATION / BACKGROUND

- 3.1 DHCW is responsible for complying with legislation that is applicable to the Special Health Authority. The parameters for the applicability are not just those limited to us as a public healthcare organisation, but include discipline specific areas of work such as the Network and Information Systems Regulations etc.
- 3.2 The [Legislative Assurance Framework](#) forms part of the overall governance assurance framework, the requirements of which are outlined in the DHCW Standing Orders to address the legal, regulatory, and contractual requirements of DHCW. This compliance assessment also supports the achievement and maintenance of the ISO and BS accreditations held by the organisation.
- 3.3 There is a legislation register as part of the management of quality standards, acts and regulations within DHCW in-line with ISO 14001:2015 Environmental Management Systems, ISO 27001:2022 Information Security, Cybersecurity and Privacy Protection & ISO 22301:2019 Societal Security Business Continuity Management Systems standards requirements.
- 3.4 The [Legislative Assurance Register](#) is maintained by the Quality and Regulatory team and is reviewed by the IMS Assurance Group on a monthly basis. The IMS Assurance Group escalate any revisions, amendments or new legislation that present material impact to regulatory compliance and / or site operations to the Quality and Regulatory Group.
- 3.5 The Quality and Regulatory Group review the Legislative Assurance Register monthly, and review legislation for relevance to the organisation, in addition to undertaking horizon scanning in each of the identified areas of legislation.
- 3.6 Updates to the legislation register are managed via the Change Control module in iPassport. Requested changes by are submitted via a fillable form which are reviewed by the Quality Standards and Regulatory Compliance team weekly.

## 4 SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

4.1 Committee members are asked to note the following changes to the Legislative Assurance Framework Register as a whole since the last report.

### New (1)

Reference	Legislation Name	Executive Lead
CC-CORP-16	The Wildlife and Countryside Act 1981 and associated amendments	Head of Corporate Services

### Amendments (1)

Reference	Legislation Name	Executive Lead	Statement
CC-CG-1	Well-being of Future Generations (Wales) Act 2015	Board Secretary	Change operational lead from Head of Corporate Governance to Head of Corporate Services

### Removed (0)

Reference	Legislation Name	Executive Lead	Statement

## 5 KEY RISKS / MATTERS FOR ESCALATION TO BOARD / COMMITTEE

5.1 There are no key risks / matters for escalation to Board / Committee.

## 6 RECOMMENDATION

Recommendation	Management Board is being asked to
----------------	------------------------------------

**NOTE** the Legislative Assurance Framework Register for **ASSURANCE**.