

Audit and Assurance Committee Forward Workplan



darparu gofal iechyd digidol i bobl Cymru
delivering digital healthcare to the people of Wales

IGDC • DHCW

Standing Items	Lead	Type	Detail	13-Feb-24	16-Apr-24
Welcome and Introductions	Chair	Preliminary Matters		✓	✓
Declarations of interest	Chair	Preliminary Matters		✓	✓
Minutes	Chair	Consent		✓	✓
Forward Work Programme	Board Secretary	Consent		✓	✓
Policy Report approval of policies	Board Secretary	Consent		✓	✓
NWSSP Assurance Report	Executive Director of Finance	Consent		✓	✓
Action log	Board Secretary	Main		✓	✓
Corporate Risk register	Board Secretary	Main		✓	✓
Corporate Risk register - Private Risks	Board Secretary	PRIVATE		✓	✓
Committee Highlight Report to SHA Board	Chair	Main	Items noted throughout for secretariat	✓	✓
Standards of Behaviour Report	Board Secretary	Main		✓	✓
Losses & Special Payments Report	Executive Director of Finance	Main		✓	✓
Procurements & Scheme of Delegation Report	Executive Director of Finance	Main		✓	✓
Audit Recommendations Tracker	Board Secretary	Main		✓	✓
Local Counter Fraud Update	Head of Local Counter Fraud	Main		✓	✓
Decarbonisation and Estates Compliance Report	Executive Director of Finance	Main		✓	✓
Quality and Regulatory Compliance	Executive Director of Finance	Main		✓	✓
Internal Audit Progress Report	Head of Internal Audit	Main		✓	✓
Internal Audit Review Reports	Head of Internal Audit	Main		✓	✓
Audit & Assurance Committee Update	Audit Wales	Main		✓	✓
Audit Wales Review Reports (as relevant)		Main		✓	✓
Finance update - highlight report	Executive Director of Finance	Main		✓	✓
Counter Fraud Private Risks	Head of Internal Audit	PRIVATE		✓	✓
Additional Items	Executive Lead	Type	Route in & detail	13-Feb-24	16-Apr-24
Audit & Assurance Committee Annual Report	Board Secretary	Main	Cycle of Business	✓	
Audit & Assurance Committee Effectiveness Self-Assessment	Board Secretary	Main	Cycle of Business	✓	
Audit & Assurance Committee Terms of Reference	Board Secretary	Main	Cycle of Business	✓	
Audit & Assurance Committee Cycle of Business	Board Secretary	Main	Cycle of Business	✓	
Welsh Health Circular Report	Board Secretary	Main	Cycle of Business	✓	
COVID-19 Inquiry Updates	Board Secretary		Cycle of Business, as required	✓	
Board Assurance Framework Escalations	Board Secretary		Cycle of Business	✓	
Annual Audit Themes and Learning Report	Board Secretary		Cycle of Business		✓
Counter Fraud Annual Report 23/24	Head of Local Counter Fraud		Cycle of Business		✓
Counter Fraud Annual Self Review	Head of Local Counter Fraud		Cycle of Business		✓
Counter Fraud Draft Work plan 24/25	Head of Local Counter Fraud		Cycle of Business		✓
Quality and Regulatory Annual Plan 2024/25	Executive Director of Finance		Cycle of Business	✓	
Internal Audit Annual Audit Plan 24/25	Head of Local Counter Fraud		Cycle of Business		✓
Audit Wales Annual Audit Report	Audit Wales		Cycle of Business	✓	
Audit Wales Outline Audit Plan 2024/25	Audit Wales		Cycle of Business		✓
Structured Assessment	Audit Wales		Cycle of Business	✓	
Finance Update - Year end	Executive Director of Finance			✓	
Lessons Learned report on TPX contracts P738 and P760	Executive Director of Finance	Main	Email from CD 7/07 . Requested to be removed on 31/01/2024		
Lessons Learnt from Audit of Accounts	Executive Director of Finance	Main	Action from July Committee	✓	
BAF deep dive Workforce (POD - SM)	Board Secretary	Main	Not ready in time for Oct meeting	✓	
Management of Physical assets	Executive Director of Operations	PRIVATE	Email from Laura Tolley 21/11/23	✓	
Nationally Hosted NHS Systems Audit Review	Board Secretary	PRIVATE	Email from Laura Tolley 30/11/23	✓	