

## WELSH INFORMATION STANDARDS BOARD

<b>DSC Notice:</b>	DSCN 2024 / 11
<b>Date of Issue:</b>	9 <sup>th</sup> May 2024

<b>Welsh Health Circular/Official Letter:</b> None	<b>Subject:</b> Planned Care Referrals Dataset
<b>Sponsor:</b> Lesley Law, Delivery & Performance Division, Welsh Government	
<b>Implementation Date:</b> 1 <sup>st</sup> October 2024	
<b>DATA STANDARDS CHANGE NOTICE</b>	
<p>A Data Standards Change Notice (DSCN) is an information mandate for a new or revised information standard.</p> <p>This DSCN was approved by the Welsh Information Standards Board (WISB) at its meeting on Thursday 22<sup>nd</sup> February 2024.</p> <p><b>WISB Reference:</b> ISRN 2024 / 001</p>	
<p><b>Summary:</b> The revision of the Outpatient Referrals dataset to capture the national data requirements outlined as part of the modernisation of the Outpatient work programme.</p>	
<p><b>Data sets / returns affected:</b> Outpatient Referrals Dataset</p>	
<p>Please address enquiries about this Data Standards Change Notice to the Data Standards Team in Digital Health and Care Wales</p> <p>E-mail: <a href="mailto:data.standards@wales.nhs.uk">data.standards@wales.nhs.uk</a> / Tel: 02920502539</p>	
<p>The Welsh Information Standards Board is responsible for appraising information standards. Submission documents and WISB Outcomes relating to the approval of this standard can be found at:</p> <p><a href="https://nhs.wales365.sharepoint.com/sites/DHC_DST/Lists/Information%20Standards%20Assurance%20Submission%20Log/AllItems.aspx">https://nhs.wales365.sharepoint.com/sites/DHC_DST/Lists/Information%20Standards%20Assurance%20Submission%20Log/AllItems.aspx</a></p>	

## DATA STANDARDS CHANGE NOTICE

### Introduction

The existing Outpatient and Outpatient Referral Data Sets were introduced in 1999 and 2008 respectively. These are no longer fit for purpose and require transformation to record and collect outpatient information that accurately represents new ways of working and outcomes identified in the [Outpatient strategy 2023-26](#). In recent years there has also been an increase in the collection of aggregate data through electronic pro-forma directly from health boards/trusts to Welsh Government. Richer patient level outpatient information would enable DHCW to apply national methodology to generate this aggregate data thereby ensuring consistency of approach. Consequently, this plan covers elements relating to the development of the Data Set, reporting requirements, analysis methodologies and data quality.

Since the creation of the MODS Working Group in June 2023, it was recommended within these meetings that this work be split into the following datasets to allow for manageable and effective monthly submissions:

- Referrals
- Outpatient Activity
- New Outpatient Waiting List
- Follow Up Outpatient Waiting List

These datasets will allow the information standards development to be split into 4 phases which have been outlined below and will allow for the agreed data requirements to be captured.

This DSCN reflects the changes required for phase 1 of the modernisation work and requires changes to the existing Outpatient Referral dataset.

### Description of Change

The revision of the existing Outpatient Referrals dataset to capture the national data requirements outlined as part of the modernisation of the Outpatient work programme.

The change to the national dataset requires the following:

- Dataset name change from Outpatients Referrals to Planned Care Referrals to encompass the change in scope.
- Changes to existing data item values to incorporate required data requirements.
- The addition of new data items to capture required data which is currently not included in the national submissions.

### Data Dictionary Version

Where applicable, this DSCN reflects changes introduced by DSCN and/or DDCN since the release of version 4.20 of the NHS Wales Data Dictionary.

The changes introduced by such DSCNs will be published in version 4.21 of the NHS Wales Data Dictionary.

## Actions Required

- System changes to be made to incorporate changes to national values to existing data items.
- System changes to be made to allow for the recording of the new data items as per the DSCN.
- DHCW Acquisition Team to add new data items to the national dataset.
- Health Boards to implement all outlined additions and changes to the national dataset.

**Appendix A: Table reflecting areas that are impacted as a result of this DSCN**

The following table shows where there are changes to the scope and/or definitions of applicable data sets, data items, terms and other associated areas that are linked with the changes documented within this DSCN.

Each data definition type is listed in alphabetical order and is shown in the sequence in which it appears in this DSCN.

<b>Data Definition Type</b>	<b>Name</b>	<b>New/Retired/ Changed</b>	<b>Page Number</b>
Dataset Name	Planned Care Referral Dataset	Changed	5
Scope	Planned Care Referral Dataset	Changed	5
Data Item	Ethnic Group	Changed	6
Data Item	Source of Referral: Outpatients	Changed	7
Data Item	Service Type Requested	Changed	8
Data Item	Referrer Priority Type	Changed	8
Data Item	Outcome of Triage	New	9
Data Item	Date of Triage	New	10

## **Appendix B: Highlighted changes to be made to the NHS Wales Data Dictionary**

Changes to the NHS Wales Data Dictionary are detailed below, with new text being highlighted in **blue** and deletions are shown with a **strikethrough**. The text shaded in **grey** shows existing text copied from the NHS Wales Data Dictionary.

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### **Planned Care Referral Data Set**

#### **Scope**

~~The Outpatient Referral (OPR) Data Set captures all referrals received by NHS Wales provider organisations for a first outpatient appointment for all consultant nurse led clinic activity. This includes Face to face appointments, Virtual clinic appointments (patient contact) Phone, Virtual clinic appointments (patient contact) Video and Virtual clinical reviews (non patient contact), regardless of the patient's area of residence.~~

~~The data set includes referrals from any source and is not limited to only those referrals made by a GP.~~

The Planned Care Referral (PCR) Data Set captures all referrals received by NHS Wales provider organisations for the following service requests:

- Request for Advice
- Request for Investigation
- Request for Treatment

This includes all consultant/nurse and non-consultant led clinic activity<sup>1</sup>. In addition, any Consultant-to-Consultant referrals when a patient is starting a new Outpatient pathway under a different specialty. All Fracture and Urgent Eye Clinics should be included within both the Planned Care Referral and Outpatient Activity data sets.

The data set includes referrals from any source and is not limited to only those referrals made by Primary Care.

Currently the dataset captures referrals outside of the RTT dataset, namely Mental Health and Maternity including obstetrics. As new datasets are developed it is anticipated that these will be removed to be reported under the dedicated minimum datasets. All diagnostic and therapy referrals will continue to be captured as a source of monitoring potential alternative planned care pathways (this can be reviewed as other developments occur around this area).

<sup>1</sup> An independent practitioner who is responsible and accountable for the assessment of patients with undiagnosed or diagnosed conditions and can make clinical decisions without supervision to manage the clinical condition of the patient. These are medical professionals who have the autonomy to make a clinical decision to move the patient onto the next stage of their pathway.

## Data Set Structure

Valid From: 1st June 2008

The Planned Care Referral Data Set is effective from 1<sup>st</sup> October 2024.

Please note the Planned Care Referral Data Set will be replacing the Outpatient Referral Data Set which was introduced in 2008.

Rating 1=mandatory 2=optional		Format/Length
1	<a href="#">Record ID</a>	an1
	<b>CONTRACT DETAILS</b>	
1	<a href="#">Organisation Code (Code of Provider)</a>	an5
	<b>PATIENT DETAILS</b>	
1	<a href="#">Local Patient Identifier</a>	an10
1	<a href="#">NHS Number</a>	n10
1	<a href="#">NHS Number Status Indicator</a>	n2
1	<a href="#">Code of Registered GP Practice</a>	an6
2 1	<a href="#">Ethnic Group</a>	an2
1	<a href="#">Patient's name</a>	an70 or structured name with 2 an35 elements
1	<a href="#">Name Format Code</a>	n1
1	<a href="#">Birth Date</a>	ccyymmdd
1	<a href="#">Birth Date Status</a>	n1
1	<a href="#">Sex</a>	n1
1	<a href="#">Patient's Usual address</a>	an175 (5 lines each an35)
1	<a href="#">Postcode of Usual Address</a>	an8
1	<a href="#">Organisation Code (LHB Area of Residence)</a>	an3
	<b>REFERRAL DETAILS</b>	
1	<a href="#">Source of Referral: Outpatients</a>	an2
1	<a href="#">Referring Organisation Code</a>	an6
1	<a href="#">Service Type Requested</a>	n1
1	<a href="#">Referrer Code</a>	an8
1	<a href="#">Administrative Category</a>	n2
1	<a href="#">Date of Patient Referral</a>	ccyymmdd
1	<a href="#">Patient Referral Date Status</a>	n1
1	<a href="#">Clinical Referral Date</a>	ccyymmdd
1	<a href="#">Clinical Referral Date Status</a>	n1

1	Main Specialty (consultant)	n3
1	Referrer Priority Type	n1
1	Outcome of Triage	n1
1	Date of Triage	ccyymmdd
2	Reason for Referral	an8
1	Referral Identifier	an12
1	Treatment Function Code	n3

## Data Item

### Source of Referral: Outpatients

This data item is / was included in the following data sets / collections between the dates shown:

Data Set / Collection	Valid From	Valid To
OP ds	1 <sup>st</sup> April 1999	
OPR ds PCR ds	1 <sup>st</sup> July 2008	

This is a classification which is used to identify the source of referral of each Outpatient Episode or Outpatient Referral.

Format: 2-character alpha-numeric

Value	Meaning	Valid From	Valid To
Initiated by the Consultant or Independent Nurse responsible for the Outpatient episode			
01	Following an emergency admission	1 <sup>st</sup> April 1999	
02	Following a domiciliary visit	1 <sup>st</sup> April 1999	
10	Following an A&E attendance	1 <sup>st</sup> April 1999	
11	Other	1 <sup>st</sup> April 1999	
Not initiated by the Consultant or Independent Nurse responsible for the Outpatient episode			
03	Referral from General Medical Practitioner	1 <sup>st</sup> April 1999	
04	Referral from an A&E department	1 <sup>st</sup> April 1999	
05	Referral from a Consultant or Independent Nurse, other than in an A&E department	1 <sup>st</sup> April 1999	
06	Self-referral	1 <sup>st</sup> April 1999	
07	Referral from Prosthetist	1 <sup>st</sup> April 1999	30 <sup>th</sup> September 2024
08	Other source of referral	1 <sup>st</sup> April 1999	
12	Referral from an Allied Health Professional	1 <sup>st</sup> October 2024	

13	Referral from a national screening programme	1st October 2024	
14	Referral from a Health Care Scientist	1st October 2024	
15	Referral from Optometrist	1st October 2014	
92	General Dental Practitioner	1st April 1999	
93	Community Dental Service	1st April 1999	

Note: The classification has been listed in logical sequence rather than numeric order. Please refer to Appendix C and D for full list of Health Professionals to be included under values 12 and 14.

## Service Type Requested

This data item is / was included in the following data sets / collections between the dates shown:

Data Set / Collection	Valid From	Valid To
OP ds	1st April 1999	
OPR ds PCR ds	1st July 2008	

The terms of reference for the referral request.

Format: 1 digit numeric

Value	Meaning	Valid From	Valid To
1	Advice/Consultation	1st April 1999	30th September 2024
2	Specific procedure	1st April 1999	30th September 2024
3	Other	1st April 1999	30th September 2024
4	Request for Advice	1st October 2024	
5	Request for Investigation	1st October 2024	
6	Request for Treatment	1st October 2024	

## Referrer Priority Type

This data item is / was included in the following data sets / collections between the dates shown:

Data Set / Collection	Valid From	Valid To
OPR ds PCR ds	1st July 2008	

This is the Referrer's assessment of the priority of a request for services. The value may be obtained from the text in the referral notification and is unaffected by the priority as assessed by the Consultant or Independent Nurse responsible for the outpatient appointment on review of the referral notification.

Format: 1 digit numeric

Value	Meaning	Valid From	Valid To
1	Routine	1 <sup>st</sup> July 2008	
2	Urgent	1 <sup>st</sup> July 2008	
3	Suspected Cancer	1 <sup>st</sup> October 2024	

Note: If a patient self-refers, this field should be populated with the default value of 1.

The 'routine' value is to be utilized as a default if no urgency or clinical priority is included within the referral notification. Where an urgency or clinical priority is included 'urgency' can be defined as per the extant guidance.

## Outcome of Triage

This data item is / was included in the following data sets / collections between the dates shown:

Data Set / Collection	Valid From	Valid To
PCR ds	1 <sup>st</sup> October 2024	

This is the outcome of the referral once it has been reviewed by a clinician to outline the next stage of the patient's pathway.

Value	Meaning	Definition	Valid From	Valid To
01	Returned with Advice	Documented written advice which is sent to the original referrer and documented in the patients record.	1 <sup>st</sup> October 2024	
02	Straight to Test	Includes any imaging, endoscopy or blood tests and pathway specific testing such as Teledermoscopy.	1 <sup>st</sup> October 2024	
03	Consultation	Patient review, face to face or virtual (individual or group).	1 <sup>st</sup> October 2024	
04	Straight to Procedure	Patient added to treatment waiting list.	1 <sup>st</sup> October 2024	

## Date of Triage

This data item is / was included in the following data sets / collections between the dates shown:

Data Set / Collection	Valid From	Valid To
PCR ds	1 <sup>st</sup> October 2024	

This is the date on which the clinician reviewed the referral and determines the next stage of the patient's pathway.

Format: 8 digit numeric, ccyyymmdd

## **Appendix C**

List of Health Professionals that should be included under Source of Referral value for Allied Health Professionals:

- Arts Therapists
- Drama Therapists
- Music Therapists
- Biomedical Scientist
- Chiropodist
- Clinical scientists
- Dietitian
- Hearing dispenser
- Occupational therapists
- Operating department practitioner
- Orthotist
- Orthoptist
- Podiatrist
- Paramedic
- Physiotherapist
- Practitioner psychologist
- Prosthetist
- Speech and language therapist

## **Appendix D**

List of Health Professionals that should be included under Source of Referral value for Health Care Scientist:

- Audiologist
- Biomedical Scientist
- Biomedical Scientist Specialist
- Lab Assistant
- Pharmacist
- Pharmacist Specialist
- Pharmacy Technician
- Phlebotomist